

**FIRST TECHNICAL AMENDMENT TO TECHNICAL STANDARDS  
IN TRIBAL/STATE COMPACT  
BETWEEN THE SAC & FOX TRIBE OF THE MISSISSIPPI IN IOWA  
AND THE STATE OF IOWA**

Pursuant to Section 19 of the Tribal/State Compact between the Sac and Fox Tribe of the Mississippi in Iowa (the “Sac & Fox Tribe” or “Tribe”) and the State of Iowa (the “State” or “Iowa”), the Sac & Fox Tribe and the State of Iowa, by and through its Director of the Iowa Department of Inspections & Appeals, agree to amend Appendix “A” and Appendix “C” of the Compact as follows for the express and limited purposes of permitting use of cashless ticket devices to match maximum payout to the state standard, and for transfer of jackpot on progressives, by the Sac & Fox Tribe:

1. Section A(1)(e) is amended to read:

The device shall have a minimum of one electronic coin acceptor meeting the security requirements established by the Tribe, except for those devices which accept and dispense cashless tickets which need not, but may, contain an ecoin acceptor. The device may also contain token or bill acceptors, or cashless ticket acceptors and cashless ticket dispensers, for denominations determined by the Tribe.

2. Section A(1)(h) is amended to read:

The drop bucket compartment shall be in a locked area within or attached to the device, except that a separate cash compartment shall not be required in a device that accepts cashless tickets and dispenses cashless tickets.

3. Section 6(b) (Percentage Payout Gambling Devices) is amended to read:

A Device must have a probability of obtaining the maximum payout greater than 1 in 50,000,000.

4. Section 8 (Hopper Mechanism) is amended to add language after the first sentence as follows:

“except that a separate cash compartment shall not be required in a device that accepts cashless tickets and dispenses cashless tickets.”

5. Section 9 (Progressives) is amended to add language at the end of (b)(4) as follows:

“replacement, or for other good reason.”

6. A new Section 10 is hereby added:

Cash-Out Ticket Gambling Devices. The following technical standards are applicable for Gambling Devices that accept coins, tokens, currency, or cashless tickets and which issue cashless tickets.

(a) Credit redemption. Available credits may be collected from the Gambling Device by the player pressing the “COLLECT” button at any time other than during:

1. A game being played.
2. Audit mode.
3. Any door open.
4. Test mode.
5. A Credit Meter or Win Meter incrementation, unless the entire amount is placed on the meters when the collect button is pressed.
6. An error condition.

(b) Cancel credit. If credits are collected, and the total credit value is greater than or equal to a specific limit (e.g., printer limit for printer games), the Gambling Device shall lock up until the credits have been paid, and the hand pay is cleared by an attendant.

(c) Printers. The printers shall print on a ticket and provide the data to a management/reporting system that records the following information regarding each cashless ticket printed. The information listed below can be obtained from the Gambling Device, validation terminal, the slot accounting/management/reporting system or other means.

1. Value of credits in U.S. currency, presented in numerical format.
2. Time of day the ticket was printed, presented in twenty-four (24) hour format showing hours and minutes.
3. Date, in any commonly accepted format, indicating the day, month and year.
4. Gambling Device number or machine number.
5. Unique validation number or bar code.

The Gambling Device shall either keep a duplicate copy or print only one copy to the player. Each Gambling Device shall have two dedicated logs which will record and retain a ticket history consisting of, at a minimum: (i) the last thirty-five (35) tickets printed, and (ii) the last thirty-five (35) tickets redeemed. In addition, an approved system shall be used to validate the payout ticket, and information pertaining to the ticket shall be retained by the management/reporting system at least as long as the ticket is valid for redemption.

(d) Printer location. In order to ensure that changing the printer paper does not require access to the drop bucket compartment or logic areas, the ticket printer shall be located in a locked area of the Gambling Device (e.g., require opening the main door to access the ticket printer), but shall not be located in the logic area or in the drop compartment.

(e) Error Conditions. A printer shall have mechanisms to allow software to interpret and act upon the following conditions.

1. Out of paper/paper low.
2. Printer jam/failure.
3. Printer disconnected (this may only be detected when the software attempts to print).

(f) Cashless ticket information. The cashless ticket at a minimum shall contain the following printed information:

1. Casino location.
2. Machine number and location.
3. Date and time.
4. Alpha and numeric dollar amount of the cash-out.
5. Cashless ticket serial number.
6. Validation number.
7. Bar code.
8. A phrase stating the voucher's expiration period.

(g) Period for which cashless tickets shall be valid. The cashless ticket shall be valid for a period of thirty (30) days, commencing from the time of issuance by the Gambling Device. Tickets may be redeemed for payment or inserted in another Gambling Device and wagered.

After the specified time period expires, an unredeemed cashless ticket shall have no cash value. If payment is made on the expired cashless ticket, an override to the management/reporting system must be processed by an authorized supervisor or management personnel.

(h) Ticket validation. Payment by ticket printer as a method of credit redemption is only permissible where the Gambling Device is linked to a slot accounting/management/reporting system, which allows validation of the printed ticket. Validation approval or information must come from the host system. Tickets may be validated at the Gambling Device, by a cashier or at any validation terminal as long as it meets the standards in this section. The Gambling Device must contain a mechanism ensuring an alternate method of payment which will be utilized if communication is lost and validation information cannot be sent to the slot accounting/management/reporting system.

(i) Information retained by management/reporting system. The slot accounting/management/reporting system shall maintain the following information for each redeemed cashless ticket:

1. Machine number and location.
2. Operator ID.
3. Serial number.
4. Issue date and time.

5. Paid date and time.
6. Dollar amount.

(j) Daily reports. Reports shall be generated on a daily basis containing the total of cashless tickets issued, redeemed and unredeemed.

(k) Inoperability of Management/Reporting System. If the slot accounting/management/reporting system is temporarily down, regardless of the period of time for which the system is non-functional, management shall be immediately notified and cashless tickets may be redeemed only after the following information is received by a cashier:

1. Serial number of the cashless ticket.
2. Date and time.
3. Dollar amount.
4. Issuing Gambling Device number.

(l) Meter Modifications for Cashless Gambling Devices. To account for cashless tickets accepted by a Gambling Device, information recorded by the electronic accounting meters or the slot accounting/management/reporting system shall include:

1. Total value of all tickets accepted.
2. Total number of tickets accepted.
3. The drop meter, which shall contain a cumulative count of the number of coins that have been dropped to the drop bucket and credit value of all bills or cashless tickets inserted into the bill acceptor for play. It is acceptable to have both a coins dropped meter and a bills dropped meter. The Gambling Device shall have separate meter that accumulates the number and total value of cashless tickets accepted, not including bills.
4. A separate meter that accumulates and increments the total value of cashless tickets accepted, not including bills which are included in paragraph 3 above.

(m) Minimum Standards for Cashless Gambling Devices. The Tribe shall adopt minimum internal control standards (“MICS”) governing the use by the Tribe of Gambling Devices activated by cashless tickets installed in its gaming facility. The controls imposed by the MICS adopted by the Tribe for the operation and use of Gambling Devices activated by cashless tickets shall be no less stringent than those prepared by the National Indian Gaming Commission for use of cashless technology.

4. Appendix C is amended by removing all references to the word “videotape” as noted in B(2), B(7)(a), F(6), and G.
5. Appendix C is amended by deleting the words “12-inch monochrome video” as noted in D.

6. Appendix C is amended by deleting the words “Video recorders” from D(2) and replacing them with the word “Recorders.”

Dated: \_\_\_\_\_

STATE OF IOWA

Dated: \_\_\_\_\_

SAC & FOX TRIBE OF THE MISSISSIPPI  
IN IOWA

Dated: \_\_\_\_\_

Dean Lerner, Director  
Iowa Department of Iowa Inspection & Appeals

Dated: \_\_\_\_\_

Homer Bear, Jr., Chairman